

BANK RESCUE DIAGNOSTIC

What the submitted proof lets a bank reviewer verify.

● Substantiated	97	Submitted proof supports the claim
● Partially substantiated	44	Proof supports part of the claim; gaps remain
● Not substantiated	18	Claim is present, but supporting proof was not found
● Unable to assess	9	Materials provided were insufficient to evaluate

WHAT IS WORKING

Most responses have identifiable supporting artifacts.

FIX FIRST

3 proof gaps currently affect multiple questionnaire responses.

WHERE FRICTION CONCENTRATES

The largest recurring issue is the gap between documented intent and proof of execution.

DEFER

Several documentation-polish projects do not materially address the current gaps.

Post-deployment model monitoring

● Substantiated

OWNER (LAST TOUCHED) Okafor, N. · ML	LAST VERIFIED [REDACTED] 2026	VERSION v3, current	WHERE IT LIVES Eng · grafana.../[REDACTED]
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VENDOR RESPONSE

"We monitor model performance continuously in production and alert on drift."

PROOF REVIEWED

Monitoring dashboard export (PNG), drift-alert configuration (YAML), and two triggered-alert records with timestamps and owner sign-off.

WHAT THE PROOF ESTABLISHES

The monitoring mechanism is configured in production and produces dated, owned records that can be traced from alert to response.

WHAT THE PROOF DOES NOT ESTABLISH

Nothing material for this claim. The submitted proof supports the statement as written.

REASON FOR THE STATUS · GREEN

Claim, proof, owner, and date all line up and are traceable. A reviewer can follow it end to end without asking for anything more. That is what earns Substantiated.



Human oversight & override

Partially substantiated

OWNER (LAST TOUCHED) Not identified	LAST VERIFIED No date on file	VERSION Policy [REDACTED]	WHERE IT LIVES Compliance · notion.../[REDACTED]
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VENDOR RESPONSE

"A human reviewer can override any automated decision before it reaches the applicant."

PROOF REVIEWED

Product screenshot of the override control, and a policy document describing the reviewer's authority.

WHAT THE PROOF ESTABLISHES

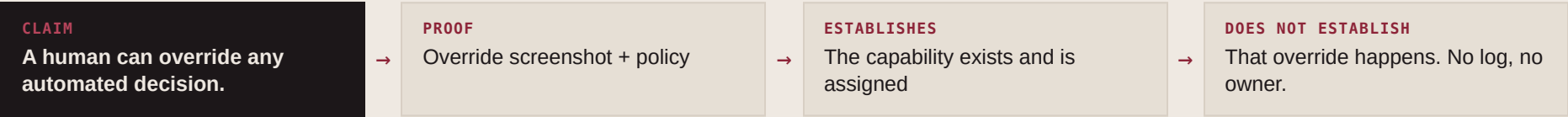
The capability exists and a policy assigns it. A reviewer can see that override is possible and who is meant to do it.

WHAT THE PROOF DOES NOT ESTABLISH

That override actually happens. No log shows one exercised, and no owner is identified to produce that record.

REASON FOR THE STATUS · AMBER

Capability is shown; operation and ownership are not. The response implies operational use, but the submitted proof establishes capability, not execution. That gap is what holds it at Partially substantiated.



LIKELY FOLLOW-UP

Provide an override log showing the control exercised, with an owner assigned to produce it.

● Not substantiated

Adverse-action reasons

OWNER (LAST TOUCHED) Reyes, M. · Risk	LAST VERIFIED Undated	VERSION Unversioned	WHERE IT LIVES Product · drive.../██████████
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VENDOR RESPONSE

"When an application is declined, the model returns specific, accurate reasons for the adverse action."

PROOF REVIEWED

Sample decline output (JSON) showing a returned reason, and the reason-code mapping table.

WHAT THE PROOF ESTABLISHES

The output reviewed returns a score-based reason ("did not meet the qualifying score") rather than the specific factors described in the vendor's response.

WHAT THE PROOF DOES NOT ESTABLISH

That the production output provides the specific reasons represented in the response. The submitted output does not demonstrate the connection between the reason-code mapping and the factors presented to the applicant.

REASON FOR THE STATUS · RED

The submitted output does not support the claim as written. The proof reviewed shows a score-based reason, while the response represents that specific reasons are returned. Additional evidence or clarification would be required to substantiate the claim.



LIKELY FOLLOW-UP Provide proof showing how the production output maps to the specific factors represented in the response. **Until that connection is established, expect another review cycle on this question.**

01 • WHY A TRUE ANSWER STILL STALLS

One answer, many claims

A single questionnaire line usually contains more than one thing to prove. Each sub-question is a claim the reviewer can pull on, and your proof has to support all of them.

"Production access is restricted to authorized personnel and reviewed periodically."

BREAKS INTO

- 01 How is access restricted?
- 02 Who can access production?
- 03 How often is it reviewed?
- 04 Who performs the review?
- 05 When was the last one?
- 06 What happens when access should be removed?

One answer can contain six claims. **Your proof needs to support all six.**

● Anonymized sample. Names, figures, and dates altered for illustration.

02 • WHAT TO FIX FIRST

Prioritized by likely review impact, available proof, and effort. Not a complete list.

PRIORITY	FINDING	WHY IT MATTERS	SUGGESTED ACTION
P-01	Training-data access proof	Same gap affects multiple responses	Retrieve current access / configuration proof
P-02	Model-testing execution records	Policy sets the requirement, not the execution	Attach relevant testing outputs
P-03	Architecture currentness	Current claim conflicts with older artifact	Reconcile or replace the artifact

These are the first three actions recommended based on the proof reviewed.

03 • WHAT NOT TO FIX YET

Do not fix yet

Not every imperfect artifact creates review friction. These do not address the current verification gaps and can be deferred.

- **Documentation rewrite**

The identified gap concerns execution proof, not policy prose.
Rewriting the policy does not close it.

- **Architecture redesign**

A proof gap does not automatically imply an architecture problem.
Reconcile the artifact before redesigning the system.

- **New compliance tooling**

A missing artifact is not automatically a tooling problem. Retrieve what exists first.

- **Presentation redesign**

Better slides do not substitute for better proof.

04 · WHAT YOU CAN HAND A REVIEWER

One claim, fully traced. The artifact a reviewer can follow without going back to ask.

QUESTION	How do you control access to production systems?
CLAIM	Production access is restricted to authorized personnel and reviewed periodically.
PROOF	IAM architecture · access policy · Q2 access-review record
ESTABLISHES	Centralized access controls and documented access-review activity.
REMAINS UNVERIFIED	Current review cadence across all production environments.
OWNER	Nweze, C. · Security Eng
LAST VERIFIED	Q2 (most recent record provided)
STATUS	● Partially substantiated
LIKELY FOLLOW-UP	What is the current review cadence, and can you provide the most recent review record?

05 • WHAT WE KEEP FINDING

Across every finding, the same four things determine whether a claim holds. Each establishes a different part of the answer.

Policy establishes **intent**.

Configuration establishes **mechanism**.

Execution record establishes **operation**.

Owner + date establishes **traceability and currentness**.

The strongest response is not the longest one. **It is the one where the claim, proof, ownership, and currentness line up.**

The reusable proof system

Each finding is filed the same way, so the next bank starts from a record, not from zero.

BANK QUESTION	CLAIM
AUTHORITATIVE ARTIFACT	PROOF STATUS
KNOWN LIMITATION	OWNER
LAST VERIFIED	REVIEW-READY RESPONSE

The questionnaire changes. Much of the underlying proof work does not. **Answer once, prove repeatedly.**

● Anonymized sample. Names, figures, and dates altered for illustration.

07 • WHAT THE RESCUE DELIVERS

\$7,500 10 business days • fixed scope

YOU PROVIDE	WE RETURN
Current bank AI / security questionnaire	What your proof substantiates
Existing engineering / security artifacts	What remains unverified
Relevant product / infrastructure docs	Where verification friction concentrates
	What to fix first, and what to defer
	Reviewer-ready proof records
	One working session

You do not need to clean up the materials first. Give us the raw proof you already have.

WHAT THIS IS	WHAT THIS IS NOT
A fixed-scope diagnostic of what your submitted proof allows a bank reviewer to verify.	Not a pen test
	Not a certification
	Not legal advice
	Not a determination that a control is adequate or that a bank will approve the submission

We assess what the submitted proof establishes. We do not assess whether the underlying control is adequate.

Start the Rescue →

Opens a prefilled email. Send materials through your own secure channel. We never ask you to upload security artifacts to a generic web form.